

Attorney Docket: 26055

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Inventor: NAKANO Group Art Unit: 2655

Serial Number: 10/799,757 Examiner: Unknown

Filing Date: March 15, 2004

Title: FOCUS SEARCHING METHOD AND OPTICAL DISC DEVICE

# TRANSMITTAL LETTER

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter;
- (2) Supplemental Information Disclosure Statement;
- (3) PTO Form-1449 with 6 cited references;
- (4) Search Report of the European Patent Application;
- (5) Copy of 4 of the 6 cited references.

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 14-0112.

Respectfully submitted, NATH & ASSOCIATES PLLC

Date: November 15, 2006

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Customer No. 20529



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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Sir:

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. 1.97-1.98. Please note the following particulars: [NOTE: One only of items a, b, c, and d must be checked.]

- [XXX] a. The enclosed statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.
- [ ] b. The enclosed statement is being filed after a first action on the merits but before the mailing date of a final action under 37 C.F.R. 1.113, or a notice of allowance under 37 C.F.R. 1.311.

The enclosed statement is accompanied by [check one]:

- [ ] i. a certification in part (e) below as specified in 37 C.F.R. 1.97(e), or
- [] ii. a check in the amount required by 37 C.F.R. 1.17(p).
- [ ] c. The enclosed statement is being filed after the mailing date of a final action under 37 C.F.R. 1.113, or a notice of allowance under 37 C.F.R. 1.311, but before payment of the issue fee.
  - [ ] Certification report(e) below; and
  - [ ] a check in the amount as required by 1.17(p).
- [ ] d. The enclosed statement is being filed pursuant to 37 C.F.R. 1.97(i), for placement in the file.

Serial No.: 10/799,757 Attorney Docket: 26055

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[ ] e. Certification [Check one] [Certification is required only if box (b)(i) or box (c) is checked.]

[ ] I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,

or

[ ] I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

or

- [ ] Appropriate certification is attached.
- [XXX] f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 14-0112.
- [ XXX] g. Copies of the documents are attached herewith with a completed Form PTO-1449.

<u>or</u>

[ ] Copies of the documents are not attached as allowed under CFR 1.98(d)(1)(2). The earlier application is identified as:

# and/or

[XXX] Copies of US Patents/Publications not attached as allowed in Official Gazette Aug. 5, 2003/ Vol. 1273, no. 1.

The Examiner is respectfully requested to cite the documents listed on the attached Form PTO-1449 in the next Office Action. In so

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doing, the Examiner is respectfully requested to initial in the space adjacent to the listing of each document on the Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

NATH & ASSOCIATES PLLC

Respectfully submitted,

Date: November / / , 2006

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FORM PTO-1449

FORMATION DISCLOSURE CITATION

Atty Docket No.: 26055

Serial No.: 10/799,757

Inventor: NAKANO Examiner: Unknown

Filing Date: March 15, 2004 Group Art Unit: 2655

U.S. PATEN	T DOCUMENTS
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U.S. PAIENI DOCOMENIS							
Examiner Initial		Document Number	Issue Date	Name	Class	Sub- Class	Filing Date
	A1	5,912,867	15 Jun. 1999	Miyazono			28 Feb. 1997
	A2	2004/0081037 A1	29 Apr. 2004	Jung et al.	-		15 Oct. 2003
	A3						
	A4						

## FOREIGN PATENT DOCUMENTS

i <del></del>		· · · · · · · · · · · · · · · · · · ·					
		Document Number	Date	Country	Class	Sub-	Trans- lation
	<b>A</b> 5	1 460 618 A2	22 Sep. 2004	EP			N/A
	A6	0 840 304 A1	06 May 1998	EP			N/A
	A7	1 408 488 A2	14 Apr. 2004	EP			N/A
	A8	0 315 470 A2	10 May 1989	EP			N/A
	А9						

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

A10			
A11			

Examiner

Date Considered "

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP ' 609.

Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to Applicant.